

STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Bart Van Den Hazel

Application No.: 10/521,008

Filed: October 27, 2005

Entitled: Full-Length Interferon Gamma Polypeptide Variants

Maxygen Holdings Ltd.

, a

Cayman corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

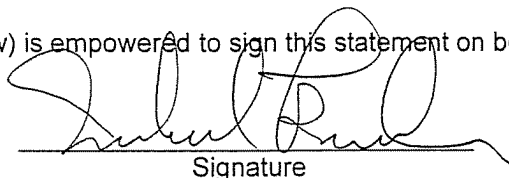
A. ☐ An assignment from the inventor(s) of the patent application identified above.
OR

B. ☒ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: Bart Van Den Hazel To: Maxygen Holdings, Ltd.
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: Anne Dam Jensen To: Maxygen Holdings, Ltd.
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: Frank Bech Nygaard To: Maxygen Holdings, Ltd.
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
4. From: Kim Vilbourn Andersen To: Maxygen Holdings, Ltd.
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

January 9, 2007
Date


Signature

Michael S. Rabson
Typed or printed name

Secretary
Title



16384US04
DZ

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 26, 2006

PTAS

DONALD J. POCHOPIEN
MCANDREWS, HELD & MALLOY, LTD
500 WEST MADISON STREET, 34TH FLOOR
CHICAGO, IL 60661

CORRECTED
NOTICE

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RECORDATION DATE: 10/25/2005

REEL/FRAME: 016939/0523

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

VAN DEN HAZEL, BART

DOC DATE: 10/13/2005

ASSIGNOR:

JENSEN, ANNE DAM

DOC DATE: 10/18/2005

ASSIGNOR:

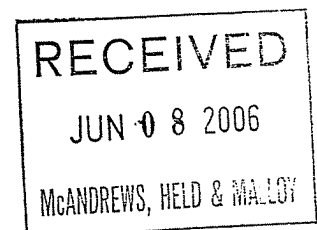
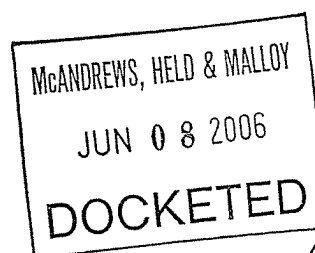
NYGAARD, FRANK BECH

DOC DATE: 10/14/2005

ASSIGNOR:

ANDERSON, KIM VILBOUR

DOC DATE: 10/18/2005



ASSIGNEE:

MAXYGEN HOLDINGS, LTD., C/O CLOSE
BROTHERS (CAYMAN) LIMITED
103 SOUTH CHURCH STREET
P.O. BOX 1034 GT
GRAND CAYMAN, BRITISH WEST INDIES

STATELESS

SERIAL NUMBER: 10521008

FILING DATE: 10/27/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: FULL-LENGTH INTERFERON GAMMA POLYPEPTIDE VARIANTS

DOROTHY RILEY, PARALEGAL
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

Form PTO-1595 (Rev. 03/05)
OMB No. 0551-0027 (exp. 8/30/2005)

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

- 1) Bart Van Den Hazel
- 2) Anne Dam Jensen
- 3) Frank Bech Nygaard
- 4) Kim Vilbour Anderson

Execution Date(s) 1) 10/13/2005; 2) 10/18/2005; 3) 10/14/2005; 4) 10/18/2005

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) _____

- | | |
|---|---|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Government Interest Assignment | |
| <input type="checkbox"/> Executive Order 9424, Confirmatory License | |
| <input type="checkbox"/> Other | |

2. Name and address of receiving party(ies)

Name: Maxygen Holdings, Ltd.

Internal Address: c/o Close Brothers (Cayman) Limited

Street Address: 103 South Church Street

P.O. Box 1034 GT

City: Grand Cayman

State: _____

Country: British West Indies

Zip: _____

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Patent Application No.(s)
10/521,008☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Donald J. Pochopien

Internal Address: McAndrews, Held & Malloy, Ltd.

Street Address: 500 West Madison Street, 34th Floor

City: Chicago

State: IL Zip: 60661

Phone Number: (312) 775-8000

Fax Number: (312) 775-8100

Email Address: mhmpfo@mhmlaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- | |
|--|
| <input type="checkbox"/> Authorized to be charged by credit card |
| <input checked="" type="checkbox"/> Authorized to be charged to deposit account |
| <input type="checkbox"/> Enclosed |
| <input type="checkbox"/> None required (government interest not affecting title) |

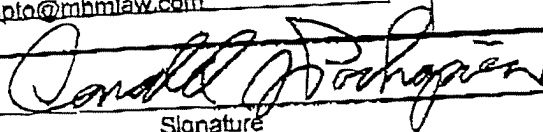
8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 13-0017

Authorized User Name McAndrews Held & Malloy, Ltd.

9. Signature:



Signature

Donald J. Pochopien, Reg. No. 32,167

October 25, 2005

Date

Total number of pages including cover sheet, attachments, and documents

3

Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (703) 308-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, **BART VAN DEN HAZEL**, residing at Olufsvej 29, DK-2100 Copenhagen, Denmark, **ANNE DAM JENSEN**, residing at Svanevej 13, DK-2400 Copenhagen, Denmark; **FRANK BECH NYGAARD** residing at Ny Strandvej 3A, DK-3050 Humlebæk, Denmark; and **KIM VILBOUR ANDERSEN** residing at Karlstads Allé 7, DK-2700 Brønshøj, Denmark hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified patent applications:

Title of Invention: Full-Length Interferon Gamma Polypeptide Variants

| <u>Application No.</u> | <u>Filing Date</u> |
|------------------------|--------------------|
| USSN 60/394,120 | July 3, 2002 |
| USSN 60/415,214 | September 30, 2002 |
| USSN 60/417,399 | October 9, 2002 |
| PCT/DK03/00426 | June 23, 2003 |
| USSN 10/521,008 | January 3, 2005 |

and

WHEREAS, Maxygen Holdings, Ltd., c/o Close Brothers (Cayman) Limited, 103 South Church Street, P.O. Box 1034 GT, Grand Cayman, British West Indies, a Cayman corporation, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the inventions and applications and in any Patents and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the inventions and applications and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the applications as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Patents and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the inventions and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Patents and

Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

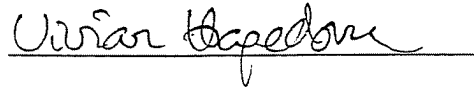
IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated: 13-10-2005


BART VAN DEN HAZEL

SIGNATURE WITNESSED BY:

(1) Date: 13.10.2005

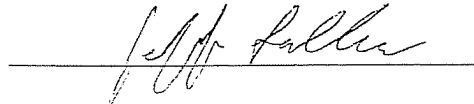

Vivian Hagedorn

Dated: 18.10.2005


ANNE DAM JENSEN

SIGNATURE WITNESSED BY:

(1) Date: 18.10.2005


Jeff Salter

Dated: 14.10.2005


FRANK BECH NYGAARD

SIGNATURE WITNESSED BY:

(1) Date: 14 Oct. 2005

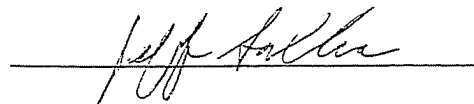

Jeff Salter

Dated: 18 Oct 2005


KIM VILBOUR ANDERSEN

SIGNATURE WITNESSED BY:

(1) Date: 18.10.2005


Jeff Salter